



THE FIRST DEDICATED VOICE CAPTURE
SERVICE FOR FINANCIAL INSTITUTIONS

MOBILE
VOICE
RECORDING

THE EVOLUTION OF FINANCIAL REGULATION

In order to ensure the stability of financial markets while increasing transparency and control, and to increase protection for investors, significant and rapid changes in regulation have been introduced across different regions globally. It is vital to understand the impact these ongoing changes will have on you and your organisation when it comes to your trading communications and records.

A core aspect of the current trends in regulation is the insistence upon the recording and archiving of all trading communications data, encompassing fixed-line as well as mobile telephone conversations. The Dodd-Frank Act in the USA, and MiFID II across Europe both require investment firms to record all telephone conversations made with the intention to result in a transaction - regardless of the fact of such a trade having been executed. This means that all communications

equipment provided by a firm needs to be recorded, and that firms must take steps to restrict the use of non-recorded devices, such as personal devices, used in the context of professional communications.

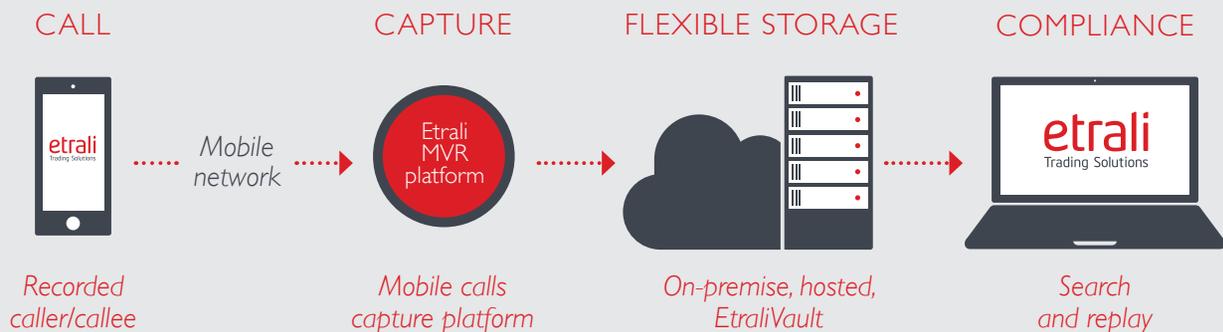
United States and European Union regulations also require conversations to be stored in a secure, robust environment and to be readily available and searchable, for a duration that may vary from months to years.

ETRALI MVR: ENSURING GLOBAL COMPLIANCE

Etrali partners with Natterbox to provide an app-free Mobile Recording solution that delivers effective, stress-free regulatory compliance. Etrali Mobile Voice Recording (MVR) streamlines global policy implementation by providing call

capture and a dedicated customer service programme. Tier one banks, as well as smaller institutions, use this one-stop-shop offering, designed for all market trading requirements, in order to facilitate the implementation of global compliance.

The service ensures that all calls placed or received on a device, which has been flagged to be recorded, are captured in the Etrali MVR platform. The recorded call is then logged and delivered to the designated storage solution, either on-premise or hosted by Etrali.



THE ETRALI DIFFERENCE

Etrali Trading Solutions offers global coverage and contract management, full compliance with global regulations, a seamless user experience and compatibility with multiple recording storage options.

Multiple and flexible recording storage options

Etrali Mobile Voice Recording is offered both on-premise, where the calls are recorded on customers' recorders; or via a hosted solution, where Etrali hosts and operates a dedicated recorder and WORM storage solution on the customer's behalf.

Etrali Vault delivers calls into mutualised, WORM-compliant storage, provides advanced indexing, and search capabilities (available 2016).

For Hosted Recording and Etrali Vault, the data retention period can vary from six months to over ten years, depending on specific customer requirements.

Assurance

Etrali is committed to capturing all calls, national and international while roaming over a CAMEL network. Since the commercial launch of its Mobile Voice Recording service, Etrali has never failed to capture a call.

To ensure that there are no missed calls, in cases where a call cannot be delivered to the designated recording platform the Etrali MVR platform embeds a buffering mechanism that allows the calls to be recorded on the platform itself. Calls are then delivered when the connection with the recorder is re-established. The calls are encrypted with a Blowfish 256 algorithm to ensure the integrity and accuracy of the recordings in these situations, and cannot be tampered with or played back locally.

Security

The Etrali Mobile Voice Recording platform is designed and deployed using the highest security standards. Etrali works with Sec-1 Ltd, a security testing company, to perform regular security assessments and tests on the Mobile Voice Recording solution. The tests show that the Etrali solution is well implemented and maintained, that its servers are strong and that there are strict limitations on access to the environment.

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WHY ETRALI ?

In collaboration with mobile operators, Etrali provides Mobile Voice Recording services in the United Kingdom (EE), Spain (Orange), the United States (AT&T), and Japan, expanding to France (Orange), Germany, Hong Kong (H3G), and Singapore (Starhub) by 2016. Offering the most extensive worldwide MVR coverage enables Etrali to meet its customers' compliance requirements globally.

Customers ranging from large tier one international banks with several hundred recorded lines, to small financial institutions with fewer recorded lines, use Etrali MVR services. With over 10,000 mobile lines recorded, generating over 10 million recorded calls each year, Etrali has developed strong experience and expertise in the Mobile Voice Recording domain, enabling it to offer an industry-leading solution.

ABOUT US

Etrali Trading Solutions is a leading provider of unified communications and compliance services for the global trading community, which empower business transformation and help meet fast-changing market requirements. Employing over 500 people in major financial centres, the company is supported by a global partner network of distributors in 50 countries.

Etrali designs and delivers integrated communications services for financial trading, from voice recording and trading turrets to hosted solutions, mobile voice recording, voice analytics and more. It provides optimised solutions over its dedicated trading

ETRALI MVR DELIVERS

- A unique worldwide service coverage, in partnership with mobile operators
 - 2015: USA (ATT), UK (EE), Spain (Orange), Japan
 - 2016: France (Orange), Germany, Hong Kong (H3G), Singapore (Starhub)
- Call capture in national network and while roaming (on CAMEL networks)
 - Service is device independent
 - Prevents users from deactivating the service
- Multiple and flexible storage options
 - Customer on-premise recorder
 - Etrali hosted recorder
 - Etrali Vault (2016)
- Strong data encryption while calls are processed in the MVR platform, to ensure:
 - Data integrity
 - Protection from eavesdropping
- 10,000 recorded lines to date
- 10+ million recorded calls yearly, and growing
- 24/7 monitoring and 99.99% monthly service availability

network to a community of more than 2,000 network member organisations, encompassing buy-side and sell-side institutions and trading venues.

Founded in 1965, Etrali Trading Solutions was acquired by The Gores Group, a private equity firm, in 2013. With long-standing experience in the trading industry, the company brings innovative solutions and the highest quality service focusing on building long-term partnerships with customers.

To learn more about how our mobile recording service can help you comply with confidence, contact:

info@etrali.com or for more information, visit: www.etrali.com

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